

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Attorney Docket No. UTSD:0680

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p style="text-align: center;">Preet M. Chaudhary</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment                      <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement           <input type="checkbox"/> Change of Name</p> <p>Other: _____</p> <p>Execution Date: <u>January 20, 2000</u></p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Board of Regents, The University of Texas System.</u></p> <p>Address: <u>201 West 7<sup>th</sup> Street</u> <u>Austin, Texas 78701</u></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s): Filed herewith

If this document is being filed together with a new application, the filing date of the application is: Herewith

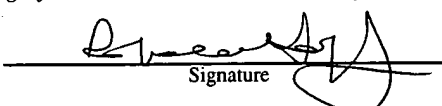
A. Patent Application No.(s)                      filed herewith	B. Patent No.(s)
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Additional numbers attached? ☐ Yes ☒ No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Richard Aron Osman</u></p> <p>Address: <u>Science &amp; Technology Law Group</u> <u>75 Denise Drive</u> <u>Hillsborough, CA 94010</u></p>	<p>6. Total number of applications and patents involved: 1 _____</p> <p>7. Total fee (37 CFR 3.41): <u>\$40.00</u></p> <p><input checked="" type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account, if necessary</p> <p>8. Deposit account number:</p> <p><u>19-0750</u> (Attach duplicate copy of this page if paying by deposit account)</p>
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DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

<u>Rebecca Graff</u> Name of Person Signing	 Signature	<u>Jan 21, 2000</u> Date
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Total number of pages including cover sheet: 2

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Board of Regents, The University of Texas System (the Assignee), having a place of business at 201 West 7th Street, Austin, Texas, 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent which is filed herewith and is entitled *Gene Expression in Ectodermal Dysplasia* such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned:

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Name: Preet M. Chaudhary

Date: 1/20/00

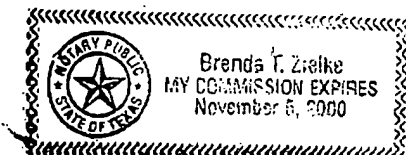
STATE OF TEXAS )

COUNTY OF DALLAS )

ss.

BEFORE ME, the undersigned authority, on this 20<sup>th</sup> day of JANUARY, 2000, personally appeared PREET M. CHAUDHARY, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

UTSD:0680



Brenda T. Zrelke  
Notary or Consular Officer

01/20/2000 12:28

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SCIENCE&amp;TECHNOL

PAGE 03

**DECLARATION FOR PATENT APPLICATION**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: *Gene Expression in Ectodermal Dysplasia* described in the specification filed herewith.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56.

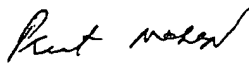
Please address all correspondence to: Richard Aron Osman, Ph.D., Science & Technology Law Group, 75 Denise Drive, Hillsborough, CA 94010, Telephone: (650) 343-4341 Fax: (650) 343-4342

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First Inventor:

Preet M. Chaudhary

Inventor's signature:



Date:

January 20, 2000

Residence (city/state)

Dallas, Texas

Citizenship:

India

Post Office Address:

Dept. Internal Medicine, UTSW Medical Center  
5323 Harry Hines Blvd; Dallas TX 75235-8593

UTSD:0680

Applicant or Patentee: Preet M. Chaudhary

Atty Docket #: UTSD:0680

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: Board of Regents, The University of Texas System  
Address of Organization: 201 West 7th Street, Austin, Texas, 78701

**TYPE OF ORGANIZATION**

- ☒ University or other Institution of Higher Education  
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))  
☐ Nonprofit scientific or educational under Statute of State of the U.S.A. (Name of State \_\_\_\_\_)  
(Citation of Statute \_\_\_\_\_)  
☐ Would qualify as nonprofit scientific or educational under Statute of State of the U.S.A. if located in the U.S.A. (Name of State \_\_\_\_\_) (Citation of Statute \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) or (b) of Title 35, United States Code, with regard to the invention entitled: *Gene Expression in Ectodermal Dysplasia*, and having the named inventor(s): Preet M. Chaudhary and described in the specification filed herewith.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization having rights to the invention as listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

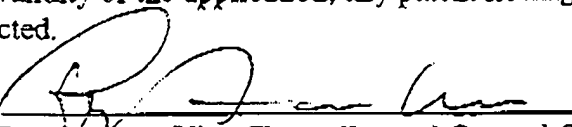
NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ Individual      ☐ Small Business Concern      ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

By:   
Ray Farabee, Vice Chancellor and General Counsel  
201 West 7th Street, Austin TX 78701

DATE January 21, 2000

Docket No.UTSD: 0680  
Applicant: Preet M. Chaudhary  
Filed: Herewith  
For: *Gene Expression in Ectodermal Dysplasia*

### POWER OF ATTORNEY BY ASSIGNEE

Dear Commissioner:

The undersigned assignee of the entire interest in the above-identified subject patent application hereby appoints Richard Aron Osman, Ph.D., Reg No 36,627, as its attorney to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 CFR 3.71.

An assignment of the entire interest in the above-identified subject application:


[ ] was recorded on \_\_\_\_\_ at reel/frame \_\_\_\_\_ / \_\_\_\_\_  
[X] is submitted herewith for recording.

Please direct all telephone calls to: Richard Aron Osman, Ph.D. at (650) 343-4341 and all correspondence relative to said application to the following address:

Richard Aron Osman, Ph.D.  
SCIENCE & TECHNOLOGY LAW GROUP  
75 Denise Drive  
Hillsborough, CA 94010

Dated: \_\_\_\_\_ January 21, 2000

Board of Regents, The University of Texas System



Ray Farabee, Vice Chancellor and General Counsel  
201 West 7th Street, Austin, Texas, 78701